

# Certification Report

**BSI-DSZ-CC-0787-2013**

for

**SUSE Linux Enterprise Server 11**  
Service Pack 2 including KVM virtualization

from

**SUSE Linux Products GmbH**

BSI - Bundesamt für Sicherheit in der Informationstechnik, Postfach 20 03 63, D-53133 Bonn  
Phone +49 (0)228 99 9582-0, Fax +49 (0)228 9582-5477, Infoline +49 (0)228 99 9582-111



# Deutsches IT-Sicherheitszertifikat

erteilt vom



Bundesamt für Sicherheit in der Informationstechnik

**BSI-DSZ-CC-0787-2013**

Operating System

**SUSE Linux Enterprise Server 11**

Service Pack 2 including KVM virtualization

from SUSE Linux Products GmbH

PP Conformance: Operating System Protection Profile, Version 2.0,  
01 June 2010, BSI-CC-PP-0067-2010,  
OSPP Extended Package – Virtualization, Version  
2.0, 28 May 2010

Functionality: PP conformant  
Common Criteria Part 2 extended

Assurance: Common Criteria Part 3 conformant  
EAL 4, augmented by ALC\_FLR.3



Common Criteria  
Recognition  
Arrangement



The IT product identified in this certificate has been evaluated at an approved evaluation facility using the Common Methodology for IT Security Evaluation (CEM), Version 3.1 for conformance to the Common Criteria for IT Security Evaluation (CC), Version 3.1.

This certificate applies only to the specific version and release of the product in its evaluated configuration and in conjunction with the complete Certification Report.

The evaluation has been conducted in accordance with the provisions of the certification scheme of the German Federal Office for Information Security (BSI) and the conclusions of the evaluation facility in the evaluation technical report are consistent with the evidence adduced.

This certificate is not an endorsement of the IT product by the Federal Office for Information Security or any other organisation that recognises or gives effect to this certificate, and no warranty of the IT product by the Federal Office for Information Security or any other organisation that recognises or gives effect to this certificate, is either expressed or implied.

Bonn, 27 February 2013

For the Federal Office for Information Security

Joachim Weber  
Head of Division

L.S.



This page is intentionally left blank.

## Preliminary Remarks

Under the BSI<sup>1</sup> Act, the Federal Office for Information Security (BSI) has the task of issuing certificates for information technology products.

Certification of a product is carried out on the instigation of the vendor or a distributor, hereinafter called the sponsor.

A part of the procedure is the technical examination (evaluation) of the product according to the security criteria published by the BSI or generally recognised security criteria.

The evaluation is normally carried out by an evaluation facility recognised by the BSI or by BSI itself.

The result of the certification procedure is the present Certification Report. This report contains among others the certificate (summarised assessment) and the detailed Certification Results.

The Certification Results contain the technical description of the security functionality of the certified product, the details of the evaluation (strength and weaknesses) and instructions for the user.

---

<sup>1</sup> Act on the Federal Office for Information Security (BSI-Gesetz - BSI<sup>1</sup>) of 14 August 2009, Bundesgesetzblatt I p. 2821

## Contents

A Certification.....	7
1 Specifications of the Certification Procedure.....	7
2 Recognition Agreements.....	7
3 Performance of Evaluation and Certification.....	8
4 Validity of the Certification Result.....	8
5 Publication.....	9
B Certification Results.....	11
1 Executive Summary.....	12
2 Identification of the TOE.....	15
3 Security Policy.....	15
4 Assumptions and Clarification of Scope.....	16
5 Architectural Information.....	16
6 Documentation.....	19
7 IT Product Testing.....	19
8 Evaluated Configuration.....	21
9 Results of the Evaluation.....	22
10 Obligations and Notes for the Usage of the TOE.....	23
11 Security Target.....	23
12 Definitions.....	23
13 Bibliography.....	25
C Excerpts from the Criteria.....	27
CC Part 1:.....	27
CC Part 3:.....	28
D Annexes.....	37

## A Certification

### 1 Specifications of the Certification Procedure

The certification body conducts the procedure according to the criteria laid down in the following:

- BSIG<sup>2</sup>
- BSI Certification Ordinance<sup>3</sup>
- BSI Schedule of Costs<sup>4</sup>
- Special decrees issued by the Bundesministerium des Innern (Federal Ministry of the Interior)
- DIN EN 45011 standard
- BSI certification: Procedural Description (BSI 7125) [3]
- Common Criteria for IT Security Evaluation (CC), Version 3.1<sup>5</sup> [1]
- Common Methodology for IT Security Evaluation, Version 3.1 [2]
- BSI certification: Application Notes and Interpretation of the Scheme (AIS) [4]

### 2 Recognition Agreements

In order to avoid multiple certification of the same product in different countries a mutual recognition of IT security certificates - as far as such certificates are based on ITSEC or CC - under certain conditions was agreed.

#### 2.1 European Recognition of ITSEC/CC – Certificates (SOGIS-MRA)

The SOGIS-Mutual Recognition Agreement (SOGIS-MRA) Version 3 became effective in April 2010. It defines the recognition of certificates for IT-Products at a basic recognition level and in addition at higher recognition levels for IT-Products related to certain technical domains only.

The basic recognition level includes Common Criteria (CC) Evaluation Assurance Levels EAL1 to EAL4 and ITSEC Evaluation Assurance Levels E1 to E3 (basic). For higher recognition levels the technical domain Smart card and similar Devices has been defined. It includes assurance levels beyond EAL4 resp. E3 (basic). In Addition, certificates issued for Protection Profiles based on Common Criteria are part of the recognition agreement.

---

<sup>2</sup> Act on the Federal Office for Information Security (BSI-Gesetz - BSIG) of 14 August 2009, Bundesgesetzblatt I p. 2821

<sup>3</sup> Ordinance on the Procedure for Issuance of a Certificate by the Federal Office for Information Security (BSI-Zertifizierungsverordnung, BSIZertV) of 07 July 1992, Bundesgesetzblatt I p. 1230

<sup>4</sup> Schedule of Cost for Official Procedures of the Bundesamt für Sicherheit in der Informationstechnik (BSI-Kostenverordnung, BSI-KostV) of 03 March 2005, Bundesgesetzblatt I p. 519

<sup>5</sup> Proclamation of the Bundesministerium des Innern of 12 February 2007 in the Bundesanzeiger dated 23 February 2007, p. 3730

As of September 2011 the new agreement has been signed by the national bodies of Austria, Finland, France, Germany, Italy, The Netherlands, Norway, Spain, Sweden and the United Kingdom. Details on recognition and the history of the agreement can be found at <https://www.bsi.bund.de/zertifizierung>.

The SOGIS-MRA logo printed on the certificate indicates that it is recognised under the terms of this agreement by the nations listed above.

## 2.2 International Recognition of CC – Certificates (CCRA)

An arrangement (Common Criteria Recognition Arrangement) on the mutual recognition of certificates based on the CC Evaluation Assurance Levels up to and including EAL 4 has been signed in May 2000 (CCRA). It includes also the recognition of Protection Profiles based on the CC.

As of September 2011 the arrangement has been signed by the national bodies of: Australia, Austria, Canada, Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, India, Israel, Italy, Japan, Republic of Korea, Malaysia, The Netherlands, New Zealand, Norway, Pakistan, Republic of Singapore, Spain, Sweden, Turkey, United Kingdom, United States of America. The current list of signatory nations and approved certification schemes can be seen on the website: <http://www.commoncriteriaportal.org>.

The Common Criteria Recognition Arrangement logo printed on the certificate indicates that this certification is recognised under the terms of this agreement by the nations listed above.

## 3 Performance of Evaluation and Certification

The certification body monitors each individual evaluation to ensure a uniform procedure, a uniform interpretation of the criteria and uniform ratings.

The product SUSE Linux Enterprise Server 11 Service Pack 2 including KVM virtualization has undergone the certification procedure at BSI.

The evaluation of the product SUSE Linux Enterprise Server 11 Service Pack 2 including KVM virtualization was conducted by atsec information security GmbH. The evaluation was completed on 18 February 2013. The atsec information security GmbH is an evaluation facility (ITSEF)<sup>6</sup> recognised by the certification body of BSI.

For this certification procedure the sponsor and applicant is: SUSE Linux Products GmbH.

The product was developed by: SUSE Linux Products GmbH.

The certification is concluded with the comparability check and the production of this Certification Report. This work was completed by the BSI.

## 4 Validity of the Certification Result

This Certification Report only applies to the version of the product as indicated. The confirmed assurance package is only valid on the condition that

- all stipulations regarding generation, configuration and operation, as given in the following report, are observed,

---

<sup>6</sup> Information Technology Security Evaluation Facility



- the product is operated in the environment described, as specified in the following report and in the Security Target.

For the meaning of the assurance levels please refer to the excerpts from the criteria at the end of the Certification Report.

The Certificate issued confirms the assurance of the product claimed in the Security Target at the date of certification. As attack methods evolve over time, the resistance of the certified version of the product against new attack methods needs to be re-assessed. Therefore, the sponsor should apply for the certified product being monitored within the assurance continuity program of the BSI Certification Scheme (e.g. by a re-certification). Specifically, if results of the certification are used in subsequent evaluation and certification procedures, in a system integration process or if a user's risk management needs regularly updated results, it is recommended to perform a re-assessment on a regular e.g. annual basis.

In case of changes to the certified version of the product, the validity can be extended to the new versions and releases, provided the sponsor applies for assurance continuity (i.e. re-certification or maintenance) of the modified product, in accordance with the procedural requirements, and the evaluation does not reveal any security deficiencies.

## 5 Publication

The product SUSE Linux Enterprise Server 11 Service Pack 2 including KVM virtualization has been included in the BSI list of certified products, which is published regularly (see also Internet: <https://www.bsi.bund.de> and [5]). Further information can be obtained from BSI-Infoline +49 228 9582-111.

Further copies of this Certification Report can be requested from the developer<sup>7</sup> of the product. The Certification Report may also be obtained in electronic form at the internet address stated above.

---

<sup>7</sup> SUSE Linux Products GmbH  
Maxfeldstr. 5  
90409 Nürnberg  
Germany

This page is intentionally left blank.

## **B Certification Results**

The following results represent a summary of

- the Security Target of the sponsor for the Target of Evaluation,
- the relevant evaluation results from the evaluation facility, and
- complementary notes and stipulations of the certification body.

# 1 Executive Summary

The Target of Evaluation (TOE) is SUSE Linux Enterprise Server 11 Service Pack 2 (SLES11-SP2).

SUSE Linux Enterprise Server is a highly-configurable Linux-based operating system which has been developed to provide a good level of security as required in commercial environments. It also meets all requirements of the Operating System Protection Profile together with the following extended packages specified for the OSPP [7]:

- Extended package for Virtualization

SLES provides a virtualization environment based on the Linux KVM technology on x86 architectures. SLES implements the host system for the virtual machine environment and manages the virtual machines. In addition, SLES provides management interfaces to administer the virtual machine environment as well as full auditing of user and administrator operations. The virtualization mechanism is not available on the other hardware architectures.

The KVM technology separates the runtime environment of virtual machines from each other. The Linux kernel operates as the hypervisor to the virtual machines but provides a normal computing environment to administrators of the virtual machines. Therefore, the Linux kernel supports the concurrent execution of virtual machines and regular applications. SLES uses the processor virtualization support to ensure that the virtual machines execute close to the native speed of the hardware.

In addition to the separation of the runtime environment, SLES also provides system-inherent separation mechanisms to the resources of virtual machines. This separation ensures that the large software components used for virtualizing and simulating devices executing for each virtual machine cannot interfere with each other. Using the AppArmor mechanism, the virtualization and simulation software instances are isolated. The virtual machine management framework configures AppArmor settings transparently to the administrator.

The Security Target [6] is the basis for this certification. It is based on the certified Protection Profile Operating System Protection Profile, Version 2.0, 01 June 2010, BSI-CC-PP-0067-2010, OSPP Extended Package – Virtualization, Version 2.0, 28 May 2010 [7].

The TOE Security Assurance Requirements (SAR) are based entirely on the assurance components defined in Part 3 of the Common Criteria (see part C or [1], Part 3 for details). The TOE meets the assurance requirements of the Evaluation Assurance Level EAL 4 augmented by ALC\_FLR.3.

The TOE Security Functional Requirements (SFR) relevant for the TOE are outlined in the Security Target [6], chapter 6.1. They are selected from Common Criteria Part 2 and some of them are newly defined. Thus the TOE is CC Part 2 extended.

The TOE Security Functional Requirements are implemented by the following TOE Security Functions:

TOE Security Functions	Addressed issue
Audit	The Lightweight Audit Framework (LAF) is designed to be an audit system for Linux, compliant with the requirements from Common Criteria. LAF is

TOE Security Functions	Addressed issue
	<p>able to intercept all system calls as well as retrieving audit log entries from privileged user space applications. The subsystem allows configuring the events to be actually audited from the set of all events that are possible to be audited. Those events are configured in a specific configuration file and then the kernel is notified to build its own internal structure for the events to be audited.</p>
Cryptographic services	<p>The TOE provides cryptographically secured network communication channels to allow remote users to interact with the TOE. Using one of the following cryptographically secured network channels, a user can request the following services:</p> <p>The OpenSSH application provides access to the command line interface of the TOE. Users may employ OpenSSH for interactive sessions as well as for non-interactive sessions. The console provided via OpenSSH provides the same environment as a local console. OpenSSH implements the SSHv2 protocol.</p> <p>The libvirt daemon is the management facility to allow remote users to configure virtual machines. The configuration covers all aspects such as assigning of resources, starting or stopping of virtual machines. libvirt directly interacts with the virtual machines. This interface is protected using OpenSSH.</p> <p>The VNC interface provides the access mechanism for users to interact with the console of a virtual machine. The VNC connection is tunneled through OpenSSH.</p> <p>In addition to the cryptographically secured communication channels, the TOE also provides cryptographic algorithms for general use.</p>
Packet filter	<p>The Linux kernel's network stack implementation follows the layering structure of the network protocols. It implements the code for handling the link layer as well as the network layer. For those layers, independent filter mechanism are provided:</p> <p>Link layer: ebtables implements the filtering mechanism for bridges</p> <p>Network layer: netfilter/iptables implements the filtering mechanism for non-bridge interfaces.</p>
Identification and Authentication	<p>User identification and authentication in the TOE includes all forms of interactive login (e.g. using the SSH protocol or log in at the local console) as well as identity changes through the su and sudo commands. These all rely on explicit authentication information provided interactively by a user. In addition, the key-based authentication mechanism of the OpenSSH server is another form of authentication.</p>
Discretionary Access Control	<p>DAC provides the mechanism that allows users to specify and control access to objects that they own. DAC attributes are assigned to objects at creation time and remain in effect until the object is destroyed or the object attributes are changed. DAC attributes exist for, and are particular to, each type of named object known to the TOE. DAC is implemented with permission bits and, when specified, ACLs.</p>
Confidentiality protected data storage	<p>The Linux operating systems offers the use of an additional layer between the file systems and the physical block device to encrypt and decrypt any data transmitted between the file system and the block device. The dm_crypt functionality uses the Linux device mapper to provide such encryption and decryption operation that is transparent to the file system and therefore to the user.</p>
Authoritative Access Control	<p>The TOE supports authoritative access control using different mechanisms under the sole control of the administrator. It implements the</p>

TOE Security Functions	Addressed issue
	<p>following types of access control restrictions to limit virtual machines to access only their resources:</p> <p>AppArmor-based: each virtual machine and its resource is assigned to a unique AppArmor label which prevents other virtual machines with different labels to access either the virtual machine process or its resources.</p> <p>Cgroup-based: each virtual machine is granted access to a white list of device files. Access to other device files is prevented using the cgroup device ACL mechanism.</p>
Virtual machine environments	<p>KVM is implemented as part of the Linux kernel supported by user space code. It consists of two essential components that implement VMM functionality: the KVM Linux kernel module and QEMU for hardware emulation.</p> <p>From the Linux kernel perspective, the virtual machine is just another process. However, the virtual machine process has a special layout. The process image is split into two parts. The first part hosts a regular application logic executing in user mode – this is used to maintain the QEMU I/O virtualization and some other small KVM-related software components. The second part contains the image of the guest code, usually an operating system, where the software may execute either in supervisor or user mode of the processor.</p>
Security Management	<p>The security management facilities provided by the TOE are usable by authorized users and/or authorized administrators to modify the configuration of TSF. The configuration of TSF are hosted in the following locations:</p> <p>Configuration files (or TSF databases)</p> <p>Data structures maintained by the kernel and within the kernel memory</p>

Table 1: TOE Security Functionalities

For more details please refer to the Security Target [6], chapter 7.1.

The assets to be protected by the TOE are defined in the Security Target [6], chapter 3.1.1. Based on these assets the TOE Security Problem is defined in terms of Assumptions, Threats and Organisational Security Policies. This is outlined in the Security Target [6], chapter 3.

This certification covers the following configurations of the TOE: SLES with virtualization support

- IBM based on x86 64bit Intel Xeon processors, IBM System x: x3400 M2, x3400 M3, x3500 M2, x3500 M3, x3550 M2, x3550 M3, x3620 M3, x3630 M3, x3650 M2, x3650 M3
- IBM based on AMD Opteron processors, IBM System x: x3755 M3

For details refer to chapter 8.

The vulnerability assessment results as stated within this certificate do not include a rating for those cryptographic algorithms and their implementation suitable for encryption and decryption (see BSIG Section 9, Para. 4, Clause 2).

The certification results only apply to the version of the product indicated in the certificate and on the condition that all the stipulations are kept as detailed in this Certification Report. This certificate is not an endorsement of the IT product by the Federal Office for Information Security (BSI) or any other organisation that recognises or gives effect to this

certificate, and no warranty of the IT product by BSI or any other organisation that recognises or gives effect to this certificate, is either expressed or implied.

## 2 Identification of the TOE

The Target of Evaluation (TOE) is called:

### **SUSE Linux Enterprise Server 11 Service Pack 2 including KVM virtualization**

The following table outlines the TOE deliverables:

No	Type	Identifier	Form of Delivery
1	ISO	SLES-11-SP2-DVD-x86_64-GM-DVD1.iso SHA256: 3871fc1ff4b233bcf7b7caee6fba7949068bc63235236bfb40e39826b44c41	Download
2	ISO	SLES-11-SP2-DVD-x86_64-GM-DVD2.iso SHA256: b1619a4e6d592e6f12d0c590f231f338bc46d46afb934f4649c5f9fb4ebc3af0	Download
3	ISO	SLES-11-SP2-DVD-x86_64-GM-DVD3.iso SHA256: 44b5bec054e140af5b3d988ab58dec3a0475c7a6a562546146206d573066304e	Download
4	RPM	certification-sles-eal4-11.2-0.9.1.noarch.rpm <sup>8</sup>	Download
5	RPM	libopenssl0_9_8-0.9.8j-0.44.1.rpm, libopenssl0_9_8-32bit-0.9.8j-0.44.1.rpm, libopenssl0_9_8-hmac-0.9.8j-0.44.1.rpm, libopenssl0_9_8-hmac-32bit-0.9.8j-0.44.1.rpm	Download
6	RPM	openssl-0.9.8j-0.44.1.rpm	Download
7	RPM	kernel-default-3.0.34-0.7.9.rpm, kernel-default-base-3.0.34-0.7.9.rpm	Download

Table 2: Deliverables of the TOE

The delivery of the TOE is electronic download only in the form of DVD ISO images according to the Evaluated Configuration Guide [10]. The TOE's downloadable parts are shown in table Table 2. The user must verify the integrity of the ISO image by checking the hash values listed in table 2.

The packages that make up the TOE are digitally signed using GPG. The key of the developer is contained on the installation DVD. The developer provides and operates the download site and provides checksums for the downloaded images that enable the user to verify the integrity of the download. The Evaluated Configuration Guide defines how to install and configure the TOE. It is being shipped as a signed RPM package and is thus integrity protected as well.

On the system, /etc/SuSE-release identifies the system as:

```
SUSE Linux Enterprise Server 11 (x86_64) VERSION = 11 PATCHLEVEL = 2
```

## 3 Security Policy

The Security Policy is expressed by the set of Security Functional Requirements and implemented by the TOE. It covers the following issues:

<sup>8</sup>NOTE: This RPM contains the "Evaluated Configuration Guide" [10].

- Audit
- Cryptographic services
- Packet filter
- Identification and Authentication
- Discretionary Access Control
- Confidentiality protected data storage
- Authoritative Access Control
- Virtual machine environments
- Security Management

## 4 Assumptions and Clarification of Scope

The Assumptions defined in the Security Target and some aspects of Threats and Organisational Security Policies are not covered by the TOE itself. These aspects lead to specific security objectives to be fulfilled by the TOE-Environment. The following topics are of relevance: Appropriate physical security; management by competent individuals; authorized users act in a cooperating manner; users are sufficiently trained and trusted; corruption of security-enforcing or security-relevant files of the TOE will be detected; remote trusted IT systems; protected connections to and from remote trusted IT systems and between physically-separate parts of the TSF.

Details can be found in the Security Target [6], chapter 3.2.

## 5 Architectural Information

The TOE is structured in much the same way as many other operating systems, especially Unix-type operating systems. It consists of a kernel, which runs in the privileged state of the processor and provides services to applications (which can be used by calling kernel services via the system call interface). Direct access to the hardware is restricted to the kernel, so whenever an application wants to access hardware like disk drives, network interfaces or other peripheral devices, it has to call kernel services. The kernel then checks if the application has the required access rights and privileges and either performs the service or rejects the request.

The kernel is also responsible for separating the different user processes. This is done by the management of the virtual and real memory of the TOE which ensures that processes executing with different attributes cannot directly access memory areas of other processes but have to do so using the inter-process communication mechanism provided by the kernel as part of its system call interface.

The TSF of the TOE also include a set of trusted processes, which when initiated by a user, operate with extended privileges. The programs that represent those trusted processes on the file system are protected by the file system discretionary access control security function enforced by the kernel.

In addition, the execution of the TOE is controlled by a set of configuration files, which are also called the TSF database. Those configuration files are also protected by the file system discretionary access control security function enforced by the kernel.



The kernel acts as a hypervisor for the virtual machine support of the TOE. It uses the virtualization support of the underlying processor to provide virtual machines with the required kernel support in KVM and user space support via libvirt.

Normal users – after they have been successfully authenticated by a defined trusted process – can start untrusted applications where the kernel enforces the security policy of the TOE when those applications request services from the kernel via the system call interface.

The kernel itself is structured into a number of subsystems which are explained in detail in the high-level design of the TOE. Those are:

- **File and I/O Subsystem:** Implements all file system object related functions. Functions include those that allow a process to create, maintain, interact and delete file-system objects, such as regular files, directories, symbolic links, hard links, device special files, named pipes, and sockets.
- **Process Subsystem:** Implements functions related to process and thread management. Functions include those that allow the creation, scheduling, execution, and deletion of process and thread subjects.
- **Memory Subsystem:** Implements functions related to the management of a system's memory resources. Functions include those that create and manage virtual memory, including management of page tables and paging algorithms.
- **Networking Subsystem:** This subsystem implements UNIX and internet domain sockets as well as algorithms for scheduling network packets.
- **IPC Subsystem:** Implements functions related to inter-process communication mechanisms. Functions include those that facilitate controlled sharing of information between processes, allowing them to share data and synchronize their execution in order to interact with a common resource.
- **Audit Subsystem:** Implements the kernel functions required to intercept system calls and audit them in accordance with the auditing policy defined by the system administrator.
- **Kernel Modules Subsystem:** This subsystem implements an infrastructure to support loadable modules. Functions include those that load and unload kernel modules.
- **Device Driver Subsystem:** Implements support for various hardware devices through common, device independent interface.
- **KVM:** The KVM subsystem provides the kernel parts of the virtualization.
- **AppArmor Subsystem:** This subsystem implements a process centered policy, with process "profiles" being created and loaded from user space. Processes that do not have a profile defined for them execute in an unconfined state which tells AppArmor to not apply any restrictions onto these processes.
- **Cryptographic mechanisms:** Cryptographic mechanisms implemented in the kernel which can be used as a library for other kernel parts, if needed. The trusted processes include the following subsystems:
- **Identification and Authentication:** This subsystem includes all the processes that are required to identify and authenticate users. All those processes share a common set of functions (pluggable authentication modules (PAM)) that ensure that the same policy will

be enforced with respect to identification and authentication of users. Successful as well as unsuccessful authentication attempts can be audited.

- **Network Applications:** This subsystem includes the trusted processes implementing networking functions. The TOE supports SSH. The secure configuration as defined in the Security Target restricts the cipher suites that can be used for secure communication.
- **System Management:** This subsystem includes the trusted commands a system administrator can use to manage users and groups, set the time and date and check the integrity of the installed packages.
- **Batch Processing:** This subsystem includes the cron and at trusted processes that allow to execute user programs at predefined time schedules. They ensure that the users are restricted to the same security policy restrictions that also apply when they start programs interactively.
- **User Level Audit:** This subsystem includes all the trusted processes and commands outside of the kernel required to collect, store and process audit records.

In addition to those functions the TOE includes a secure system initialization function which brings the TOE into a secure state after it is powered on or after a reset. This function ensures that user interaction with the TOE can only occur after the TOE is securely initialized and in a secure state.

The TOE provides the following security functionality:

- **Auditing:** The Lightweight Audit Framework (LAF) is designed to be an audit system making Linux compliant with the requirements from Common Criteria. LAF is able to intercept all system calls as well as retrieving audit log entries from privileged user space applications. The subsystem allows configuring the events to be actually audited from the set of all events that are possible to be audited.
- **Cryptographic support:** The TOE provides cryptographically secured communication channels as well as cryptographic primitives that unprivileged users can utilize for unspecified purposes. The TOE provides cryptographically secured communication to allow remote entities to log into the TOE. For interactive usage, the SSHv2 protocol is provided. In addition, the access to the virtual machine administration tool as well as the virtual machine consoles can be protected using a SSHv2-based tunnel.
- **Packet filter:** The TOE provides a stateless and stateful packet filter for regular IP-based communication. Layer 3 (IP) and layer 4 (TCP, UDP, ICMP) network protocols can be controlled using this packet filter. To allow virtual machines to communicate with the environment, the TOE provides a bridging functionality. Ethernet frames routed through bridges are controlled by a separate packet filter which implements a stateless packet filter for the TCP/IP protocol family.
- **Identification and Authentication:** User identification and authentication in the TOE includes all forms of interactive login (e.g. using the SSH protocol or log in at the local console) as well as identity changes through the su or sudo command. These all rely on explicit authentication information provided interactively by a user.
- **Discretionary Access Control:** DAC allows owners of named objects to control the access permissions to these objects. These owners can permit or deny access for other users based on the configured permission settings. The DAC mechanism is also used to ensure that untrusted users cannot tamper with the TOE mechanisms.

- **Authoritative Access Control:** The TOE provides various access control mechanisms that are manageable by the authorized administrator only. These mechanisms are provided to separate virtual machines and their resources at runtime.
- **Confidentiality protected data storage:** Using dm-crypt, the Linux operating system offers administrators and users cryptographically protected storage space. Only with the passphrase can the session key used for encryption or decryption be obtained and used. Any data stored on the devices protected by dm-crypt is encrypted and cannot be accessed even when the TOE is not operational. An operational TOE is needed to unlock the device session key.
- **Virtual machine environments:** The TOE implements the host system for virtual machines. It acts as a hypervisor which provides an environment to allow other operating systems execute concurrently. AppArmor labels are attached to virtual machines and its resources. The access control policy is enforced using these labels to grant virtual machines access to resources if the category of the virtual machine is identical to the label of the accessed resource.
- **Security Management:** The security management facilities provided by the TOE are usable by authorized users and/or authorized administrators to modify the configuration of TSF.

## 6 Documentation

The evaluated documentation as outlined in table 2 is being provided with the product to the customer. This documentation contains the required information for secure usage of the TOE in accordance with the Security Target.

Additional obligations and notes for secure usage of the TOE as outlined in chapter 10 of this report have to be followed.

## 7 IT Product Testing

### 7.1 Developer Testing

#### 7.1.1 Test configuration

The test results provided by the sponsor were generated on the following systems:

- IBM x3650 (Intel x86\_64 architecture)
- IBM x3755 (AMD x86\_64architecture)

The sponsor has performed his tests on the above listed hardware platforms. The software was installed and configured as defined in the Evaluated Configuration Guide with additional software packages identified in the test plan. The test plan presents the arguments that those additional packages are within the boundary defined by the Security Target and do not constitute a violation of the evaluated configuration.

#### 7.1.2 Testing Approach

The test plan is focused on the security functions of the TOE and ignores other aspects typically found in developer test plans. The test cases are mapped to the corresponding functional specification and HLD.

The sponsor uses one test suite which pulls in tests from older test suites (Linux Test Project) for some specific cases, but the actual handling of this is transparent to the user.

The test suite has a common framework for the automated tests in which individual test cases adhere to a common structure for setup, execution and cleanup of tests. Each test case may contain several tests of the same function, stressing different parts (for example, base functionality, behavior with illegal parameters and reaction to missing privileges).

### 7.1.3 Testing Results

All test results from all tested environments show that the expected test results are identical to the actual test results.

The developer did not test all machines of all families mentioned in the ST [6], but only one machine for each CPU type as the other differences between the machines are related to the provided hardware environment that has no impact on the security of the TOE.

### 7.1.4 Test Coverage

The functional specification has identified the following different TSFI:

- System Calls
- Trusted programs (and the corresponding network protocol SSH v2.)
- KVM IOCTLS and hypervisor calls
- TSF database files (security critical configuration files)
- AppArmor interfaces including its configuration and control files
- Miscellaneous interfaces that don't fit into the categories above, either because there are no external interfaces, or the security functionality is not directly visible at the interface.

The mapping provided by the sponsor shows that the tests cover all individual TSFI identified for the TOE. An extension to this mapping developed by the evaluator as documented in the test case coverage analysis document shows that also significant details of the TSFI have been tested with the sponsor's test suite.

## 7.2 Evaluator Testing

### 7.2.1 Test configuration

The evaluator verified the test systems according to the documentation in the Evaluated Configuration Guide [10] and the test plan. The evaluator's configuration is consistent with the ST [6].

### 7.2.2 Independent Tests

In addition to running all the automated developer tests, the evaluator devised tests for a subset of the TOE. The evaluator has chosen these tests for the following reasons:

- The test cases examine some of the security functions of the TOE in more detail than the sponsor-supplied test cases. (Object reuse and DAC).

- The test cases cover aspects not included in the developer testing (verification of the ACL support in the archival tool, the use of /dev/random instead of /dev/urandom) and the use of address space randomization.
- As the sponsor-supplied test cases already cover the TOE in a broad sense the evaluator has devised only a small set of test cases.

The evaluator created several test cases for testing a few functional aspects where the sponsor test cases were not considered by the evaluator to be broad enough. During the evaluator's review of the test cases provided by the sponsor, the evaluator gained confidence in the sponsor testing effort and the depth of test coverage in the sponsor supplied test cases. The analysis has shown a very wide coverage of the TSF, therefore the evaluator devised only a small number of test cases.

All the test results conformed to the expected test results from the test plan, except for failures due to entropy starvation, as was expected.

In addition to repeating the tests that were provided by the developer according to the test plan from the developer, the evaluator decided to run some additional test cases on the provided test systems as defined:

- Permission settings of relevant configuration files
- Verification of the use of SHA512 passwords
- Verification that SSH uses /dev/random instead of /dev/urandom
- Verification that SUID programs do not change the real UID
- Testing of object reuse in regular file system objects
- Check for data import / export with DAC enforcement
- Verification that the permission check during open() is enforced during read() and write()
- Verification of cleaning of environment for SUID/SGID binaries
- Verification of address space randomization

All evaluator written tests passed successfully.

### 7.2.3 Evaluator Penetration Testing

The evaluator developed testable flaw hypotheses for the penetration testing effort. For each hypothesis, tests were developed to show that the TOE is not vulnerable. The test cases were executed on a KVM instance of the TOE. The evaluator concluded it is acceptable to perform these tests on a KVM instance only (and not on the other platforms) because the flaw hypotheses do not depend and are not effected by platform-specific differences. The tests were executed and recorded.

The test results were negative and did not indicate that the TOE was in fact vulnerable to the identified potential vulnerabilities.

## 8 Evaluated Configuration

This certification covers the following configurations of the TOE:

The evaluated configuration is presented in [10] and the ST [6]. It defines a number of hardware platforms in [10], section 1.3.1 as well as in [6], section 1.4.3:

SLES with virtualization support:

- IBM based on x86 64bit Intel Xeon processors; IBM System x: x3400 M2, x3400 M3, x3500 M2, x3500 M3, x3550 M2, x3550 M3, x3620 M3, x3630 M3, x3650 M2, x3650 M3
- IBM based on AMD Opteron processors; IBM System x: x3755 M3

## 9 Results of the Evaluation

### 9.1 CC specific results

The Evaluation Technical Report (ETR) [8] was provided by the ITSEF according to the Common Criteria [1], the Methodology [2], the requirements of the Scheme [3] and all interpretations and guidelines of the Scheme (AIS) [4] as relevant for the TOE.

The Evaluation Methodology CEM [2] was used.

For RNG assessment the scheme interpretations AIS 20 and 31 were used (see [4]).

As a result of the evaluation the verdict PASS is confirmed for the following assurance components:

- All components of the EAL 4 package including the class ASE as defined in the CC (see also part C of this report)
- The components ALC\_FLR.3 augmented for this TOE evaluation.

The evaluation has confirmed:

- PP Conformance: Operating System Protection Profile, Version 2.0, 01 June 2010, BSI-CC-PP-0067-2010, OSPP Extended Package – Virtualization, Version 2.0, 28 May 2010 [7]
- for the Functionality: PP conformant  
Common Criteria Part 2 extended
- for the Assurance: Common Criteria Part 3 conformant  
EAL 4 augmented by ALC\_FLR.3

For specific evaluation results regarding the development and production environment see annex B in part D of this report.

The results of the evaluation are only applicable to the TOE as defined in chapter 2 and the configuration as outlined in chapter 8 above.

### 9.2 Results of cryptographic assessment

The vulnerability assessment results as stated within this certificate do not include a rating for those cryptographic algorithms and their implementation suitable for encryption and decryption (see BSIG Section 9, Para. 4, Clause 2). This holds for:

- the TOE Security functionality according to the following table and
- for other usage of encryption and decryption within the TOE.

Algorithm	Key length (bits)	Intended purpose	Implementation standard
RSA	1024, 2048, 3072	SSH	U.S. NIST FIPS PUB186-3
DSA	L=1024, N=160 bits	SSH	U.S. NIST FIPS PUB186-3
AES	128, 192, 256	SSH, dm-crypt	FIPS PUB 197
Triple-DES	168	SSH	FIPS PUB 46-3
Twofish	128, 192, 256	dm-crypt	n/a
Serpent	128, 192, 256	dm-crypt	n/a
HMAC-SHA1	n/a	message authentication	RFC 4253

Table 3: Cryptographic Functions

## 10 Obligations and Notes for the Usage of the TOE

The documents as outlined in table 2 contain necessary information about the usage of the TOE and all security hints therein have to be considered. In addition all aspects of Assumptions, Threats and OSPs as outlined in the Security Target not covered by the TOE itself need to be fulfilled by the operational environment of the TOE.

The customer or user of the product shall consider the results of the certification within his system risk management process. In order for the evolution of attack methods and techniques to be covered, he should define the period of time until a re-assessment for the TOE is required and thus requested from the sponsor of the certificate.

If available, certified updates of the TOE should be used. If non-certified updates or patches are available the user of the TOE should request the sponsor to provide a re-certification. In the meantime a risk management process of the system using the TOE should investigate and decide on the usage of not yet certified updates and patches or take additional measures in order to maintain system security.

## 11 Security Target

For the purpose of publishing, the Security Target [6] of the Target of Evaluation (TOE) is provided within a separate document as Annex A of this report.

## 12 Definitions

### 12.1 Acronyms

<b>AIS</b>	Application Notes and Interpretations of the Scheme
<b>BSI</b>	Bundesamt für Sicherheit in der Informationstechnik / Federal Office for Information Security, Bonn, Germany
<b>BSIG</b>	BSI-Gesetz / Act on the Federal Office for Information Security
<b>CCRA</b>	Common Criteria Recognition Arrangement
<b>CC</b>	Common Criteria for IT Security Evaluation
<b>CEM</b>	Common Methodology for Information Technology Security Evaluation

<b>EAL</b>	Evaluation Assurance Level
<b>ETR</b>	Evaluation Technical Report
<b>IT</b>	Information Technology
<b>ITSEC</b>	Information Technology Security Evaluation Criteria
<b>ITSEF</b>	Information Technology Security Evaluation Facility
<b>PP</b>	Protection Profile
<b>SAR</b>	Security Assurance Requirement
<b>SFP</b>	Security Function Policy
<b>SFR</b>	Security Functional Requirement
<b>ST</b>	Security Target
<b>TOE</b>	Target of Evaluation
<b>TSF</b>	TOE Security Functionality

## 12.2 Glossary

**Augmentation** - The addition of one or more requirement(s) to a package.

**Extension** - The addition to an ST or PP of functional requirements not contained in part 2 and/or assurance requirements not contained in part 3 of the CC.

**Formal** - Expressed in a restricted syntax language with defined semantics based on well-established mathematical concepts.

**Informal** - Expressed in natural language.

**Object** - An passive entity in the TOE, that contains or receives information, and upon which subjects perform operations.

**Protection Profile** - An implementation-independent statement of security needs for a TOE type.

**Security Target** - An implementation-dependent statement of security needs for a specific identified TOE.

**Semiformal** - Expressed in a restricted syntax language with defined semantics.

**Subject** - An active entity in the TOE that performs operations on objects.

**Target of Evaluation** - A set of software, firmware and/or hardware possibly accompanied by guidance.

**TOE Security Functionality** - combined functionality of all hardware, software, and firmware of a TOE that must be relied upon for the correct enforcement of the SFRs



## 13 Bibliography

- [1] Common Criteria for Information Technology Security Evaluation, Version 3.1, Part 1: Introduction and general model, Revision 3, July 2009  
Part 2: Security functional components, Revision 3, July 2009  
Part 3: Security assurance components, Revision 3, July 2009
- [2] Common Methodology for Information Technology Security Evaluation (CEM), Evaluation Methodology, Version 3.1, Rev. 3, July 2009
- [3] BSI certification: Procedural Description (BSI 7125)
- [4] Application Notes and Interpretations of the Scheme (AIS) as relevant for the TOE<sup>9</sup>.
- [5] German IT Security Certificates (BSI 7148), periodically updated list published also in the BSI Website
- [6] Security Target BSI-DSZ-CC-0787-2013, Version 1.1, 2013-01-17, SUSE Linux Enterprise Server 11 SP2 including KVM virtualization, SUSE Linux Products GmbH
- [7] Operating System Protection Profile, Version 2.0, 01 June 2010, BSI-CC-PP-0067-2010,  
OSPP Extended Package – Virtualization, Version 2.0, 28 May 2010
- [8] Evaluation Technical Report, Version 4, 2013-02-15, Final Evaluation Technical Report, atsec information security GmbH, (confidential document)
- [9] Configuration list for the TOE: [CM.OBS] Configuration List OBS, 2012-08-29, [CM.SAR] Configuration List CC Related Items, 2012-09-04, [CMLIST] SUSE Configuration Management Lists, 2012-08-21, (confidential document)
- [10] Guidance documentation for the TOE, Version 1.0, 2012-09-28, Common Criteria EAL4+ Evaluated Configuration Guide for SUSE LINUX Enterprise Server 11 SP2, (confidential document)

---

<sup>9</sup>specifically

- AIS 20, Version 2, Funktionalitätsklassen und Evaluationsmethodologie für deterministische Zufallszahlengeneratoren
- AIS 31, Version 2, Funktionalitätsklassen und Evaluationsmethodologie für physikalische Zufallszahlengeneratoren
- AIS 32, Version 7, CC-Interpretationen im deutschen Zertifizierungsschema

This page is intentionally left blank.

## C Excerpts from the Criteria

CC Part 1:

### Conformance Claim (chapter 10.4)

“The conformance claim indicates the source of the collection of requirements that is met by a PP or ST that passes its evaluation. This conformance claim contains a CC conformance claim that:

- describes the version of the CC to which the PP or ST claims conformance.
- describes the conformance to CC Part 2 (security functional requirements) as either:
  - **CC Part 2 conformant** - A PP or ST is CC Part 2 conformant if all SFRs in that PP or ST are based only upon functional components in CC Part 2, or
  - **CC Part 2 extended** - A PP or ST is CC Part 2 extended if at least one SFR in that PP or ST is not based upon functional components in CC Part 2.
- describes the conformance to CC Part 3 (security assurance requirements) as either:
  - **CC Part 3 conformant** - A PP or ST is CC Part 3 conformant if all SARs in that PP or ST are based only upon assurance components in CC Part 3, or
  - **CC Part 3 extended** - A PP or ST is CC Part 3 extended if at least one SAR in that PP or ST is not based upon assurance components in CC Part 3.

Additionally, the conformance claim may include a statement made with respect to packages, in which case it consists of one of the following:

- **Package name Conformant** - A PP or ST is conformant to a pre-defined package (e.g. EAL) if:
  - the SFRs of that PP or ST are identical to the SFRs in the package, or
  - the SARs of that PP or ST are identical to the SARs in the package.
- **Package name Augmented** - A PP or ST is an augmentation of a predefined package if:
  - the SFRs of that PP or ST contain all SFRs in the package, but have at least one additional SFR or one SFR that is hierarchically higher than an SFR in the package.
  - the SARs of that PP or ST contain all SARs in the package, but have at least one additional SAR or one SAR that is hierarchically higher than an SAR in the package.

Note that when a TOE is successfully evaluated to a given ST, any conformance claims of the ST also hold for the TOE. A TOE can therefore also be e.g. CC Part 2 conformant.

Finally, the conformance claim may also include two statements with respect to Protection Profiles:

- **PP Conformant** - A PP or TOE meets specific PP(s), which are listed as part of the conformance result.
- **Conformance Statement (Only for PPs)** - This statement describes the manner in which PPs or STs must conform to this PP: strict or demonstrable. For more information on this Conformance Statement, see Annex D.”

CC Part 3:

**Class APE: Protection Profile evaluation** (chapter 10)

“Evaluating a PP is required to demonstrate that the PP is sound and internally consistent, and, if the PP is based on one or more other PPs or on packages, that the PP is a correct instantiation of these PPs and packages. These properties are necessary for the PP to be suitable for use as the basis for writing an ST or another PP.

Assurance Class	Assurance Components
Class APE: Protection Profile evaluation	APE_INT.1 PP introduction
	APE_CCL.1 Conformance claims
	APE_SPD.1 Security problem definition
	APE_OBJ.1 Security objectives for the operational environment APE_OBJ.2 Security objectives
	APE_ECD.1 Extended components definition
	APE_REQ.1 Stated security requirements APE_REQ.2 Derived security requirements

APE: Protection Profile evaluation class decomposition”

**Class ASE: Security Target evaluation** (chapter 11)

“Evaluating an ST is required to demonstrate that the ST is sound and internally consistent, and, if the ST is based on one or more PPs or packages, that the ST is a correct instantiation of these PPs and packages. These properties are necessary for the ST to be suitable for use as the basis for a TOE evaluation.”

Assurance Class	Assurance Components
Class ASE: Security Target evaluation	ASE_INT.1 ST introduction
	ASE_CCL.1 Conformance claims
	ASE_SPD.1 Security problem definition
	ASE_OBJ.1 Security objectives for the operational environment ASE_OBJ.2 Security objectives
	ASE_ECD.1 Extended components definition
	ASE_REQ.1 Stated security requirements ASE_REQ.2 Derived security requirements
	ASE_TSS.1 TOE summary specification ASE_TSS.2 TOE summary specification with architectural design summary

ASE: Security Target evaluation class decomposition

### Security assurance components (chapter 7)

“The following Sections describe the constructs used in representing the assurance classes, families, and components.”

“Each assurance class contains at least one assurance family.”

“Each assurance family contains one or more assurance components.”

The following table shows the assurance class decomposition.

Assurance Class	Assurance Components
ADV: Development	ADV_ARC.1 Security architecture description
	ADV_FSP.1 Basic functional specification ADV_FSP.2 Security-enforcing functional specification ADV_FSP.3 Functional specification with complete summary ADV_FSP.4 Complete functional specification ADV_FSP.5 Complete semi-formal functional specification with additional error information ADV_FSP.6 Complete semi-formal functional specification with additional formal specification
	ADV_IMP.1 Implementation representation of the TSF ADV_IMP.2 Implementation of the TSF
	ADV_INT.1 Well-structured subset of TSF internals ADV_INT.2 Well-structured internals ADV_INT.3 Minimally complex internals
	ADV_SPM.1 Formal TOE security policy model
	ADV_TDS.1 Basic design ADV_TDS.2 Architectural design ADV_TDS.3 Basic modular design ADV_TDS.4 Semiformal modular design ADV_TDS.5 Complete semiformal modular design ADV_TDS.6 Complete semiformal modular design with formal high- level design presentation

Assurance Class	Assurance Components	
AGD:	AGD_OPE.1 Operational user guidance	
Guidance documents	AGD_PRE.1 Preparative procedures	
ALC: Life cycle support	ALC_CMC.1 Labelling of the TOE ALC_CMC.2 Use of a CM system ALC_CMC.3 Authorisation controls ALC_CMC.4 Production support, acceptance procedures and automation ALC_CMC.5 Advanced support	
	ALC_CMS.1 TOE CM coverage ALC_CMS.2 Parts of the TOE CM coverage ALC_CMS.3 Implementation representation CM coverage ALC_CMS.4 Problem tracking CM coverage ALC_CMS.5 Development tools CM coverage	
	ALC_DEL.1 Delivery procedures	
	ALC_DVS.1 Identification of security measures ALC_DVS.2 Sufficiency of security measures	
	ALC_FLR.1 Basic flaw remediation ALC_FLR.2 Flaw reporting procedures ALC_FLR.3 Systematic flaw remediation	
	ALC_LCD.1 Developer defined life-cycle model ALC_LCD.2 Measurable life-cycle model	
	ALC_TAT.1 Well-defined development tools ALC_TAT.2 Compliance with implementation standards ALC_TAT.3 Compliance with implementation standards - all parts	
	ATE: Tests	ATE_COV.1 Evidence of coverage ATE_COV.2 Analysis of coverage ATE_COV.3 Rigorous analysis of coverage
		ATE_DPT.1 Testing: basic design ATE_DPT.2 Testing: security enforcing modules ATE_DPT.3 Testing: modular design ATE_DPT.4 Testing: implementation representation
		ATE_FUN.1 Functional testing ATE_FUN.2 Ordered functional testing
ATE_IND.1 Independent testing – conformance ATE_IND.2 Independent testing – sample ATE_IND.3 Independent testing – complete		
AVA: Vulnerability assessment	AVA_VAN.1 Vulnerability survey AVA_VAN.2 Vulnerability analysis AVA_VAN.3 Focused vulnerability analysis AVA_VAN.4 Methodical vulnerability analysis AVA_VAN.5 Advanced methodical vulnerability analysis	

Assurance class decomposition

## **Evaluation assurance levels (chapter 8)**

“The Evaluation Assurance Levels (EALs) provide an increasing scale that balances the level of assurance obtained with the cost and feasibility of acquiring that degree of assurance. The CC approach identifies the separate concepts of assurance in a TOE at the end of the evaluation, and of maintenance of that assurance during the operational use of the TOE.

It is important to note that not all families and components from CC Part 3 are included in the EALs. This is not to say that these do not provide meaningful and desirable assurances. Instead, it is expected that these families and components will be considered for augmentation of an EAL in those PPs and STs for which they provide utility.”

### **Evaluation assurance level (EAL) overview (chapter 8.1)**

“Table 1 represents a summary of the EALs. The columns represent a hierarchically ordered set of EALs, while the rows represent assurance families. Each number in the resulting matrix identifies a specific assurance component where applicable.

As outlined in the next Section, seven hierarchically ordered evaluation assurance levels are defined in the CC for the rating of a TOE's assurance. They are hierarchically ordered inasmuch as each EAL represents more assurance than all lower EALs. The increase in assurance from EAL to EAL is accomplished by substitution of a hierarchically higher assurance component from the same assurance family (i.e. increasing rigour, scope, and/or depth) and from the addition of assurance components from other assurance families (i.e. adding new requirements).

These EALs consist of an appropriate combination of assurance components as described in Chapter 7 of this CC Part 3. More precisely, each EAL includes no more than one component of each assurance family and all assurance dependencies of every component are addressed.

While the EALs are defined in the CC, it is possible to represent other combinations of assurance. Specifically, the notion of “augmentation” allows the addition of assurance components (from assurance families not already included in the EAL) or the substitution of assurance components (with another hierarchically higher assurance component in the same assurance family) to an EAL. Of the assurance constructs defined in the CC, only EALs may be augmented. The notion of an “EAL minus a constituent assurance component” is not recognised by the standard as a valid claim. Augmentation carries with it the obligation on the part of the claimant to justify the utility and added value of the added assurance component to the EAL. An EAL may also be augmented with extended assurance requirements.

Assurance Class	Assurance Family	Assurance Components by Evaluation Assurance Level						
		EAL1	EAL2	EAL3	EAL4	EAL5	EAL6	EAL7
Development	ADV_ARC		1	1	1	1	1	1
	ADV_FSP	1	2	3	4	5	5	6
	ADV_IMP				1	1	2	2
	ADV_INT					2	3	3
	ADV_SPM						1	1
	ADV_TDS		1	2	3	4	5	6
Guidance Documents	AGD_OPE	1	1	1	1	1	1	1
	AGD_PRE	1	1	1	1	1	1	1
Life cycle Support	ALC_CMC	1	2	3	4	4	5	5
	ALC_CMS	1	2	3	4	5	5	5
	ALC_DEL		1	1	1	1	1	1
	ALC_DVS			1	1	1	2	2
	ALC_FLR							
	ALC_LCD			1	1	1	1	2
	ALC_TAT				1	2	3	3
Security Target Evaluation	ASE_CCL	1	1	1	1	1	1	1
	ASE_ECD	1	1	1	1	1	1	1
	ASE_INT	1	1	1	1	1	1	1
	ASE_OBJ	1	2	2	2	2	2	2
	ASR_REQ	1	2	2	2	2	2	2
	ASE_SPD		1	1	1	1	1	1
	ASE_TSS	1	1	1	1	1	1	1
Tests	ATE_COV		1	2	2	2	3	3
	ATE_DPT			1	1	3	3	4
	ATE_FUN		1	1	1	1	2	2
	ATE_IND	1	2	2	2	2	2	3
Vulnerability assessment	AVA_VAN	1	2	2	3	4	5	5

Table 1: Evaluation assurance level summary”



**Evaluation assurance level 1 (EAL1) - functionally tested** (chapter 8.3)

## “Objectives

EAL1 is applicable where some confidence in correct operation is required, but the threats to security are not viewed as serious. It will be of value where independent assurance is required to support the contention that due care has been exercised with respect to the protection of personal or similar information.

EAL1 requires only a limited security target. It is sufficient to simply state the SFRs that the TOE must meet, rather than deriving them from threats, OSPs and assumptions through security objectives.

EAL1 provides an evaluation of the TOE as made available to the customer, including independent testing against a specification, and an examination of the guidance documentation provided. It is intended that an EAL1 evaluation could be successfully conducted without assistance from the developer of the TOE, and for minimal outlay.

An evaluation at this level should provide evidence that the TOE functions in a manner consistent with its documentation.”

**Evaluation assurance level 2 (EAL2) - structurally tested** (chapter 8.4)

## “Objectives

EAL2 requires the co-operation of the developer in terms of the delivery of design information and test results, but should not demand more effort on the part of the developer than is consistent with good commercial practise. As such it should not require a substantially increased investment of cost or time.

EAL2 is therefore applicable in those circumstances where developers or users require a low to moderate level of independently assured security in the absence of ready availability of the complete development record. Such a situation may arise when securing legacy systems, or where access to the developer may be limited.”

**Evaluation assurance level 3 (EAL3) - methodically tested and checked** (chapter 8.5)

## “Objectives

EAL3 permits a conscientious developer to gain maximum assurance from positive security engineering at the design stage without substantial alteration of existing sound development practises.

EAL3 is applicable in those circumstances where developers or users require a moderate level of independently assured security, and require a thorough investigation of the TOE and its development without substantial re-engineering.”

**Evaluation assurance level 4 (EAL4) - methodically designed, tested, and reviewed**  
(chapter 8.6)**“Objectives**

EAL4 permits a developer to gain maximum assurance from positive security engineering based on good commercial development practises which, though rigorous, do not require substantial specialist knowledge, skills, and other resources. EAL4 is the highest level at which it is likely to be economically feasible to retrofit to an existing product line.

EAL4 is therefore applicable in those circumstances where developers or users require a moderate to high level of independently assured security in conventional commodity TOEs and are prepared to incur additional security-specific engineering costs.”

**Evaluation assurance level 5 (EAL5) - semiformally designed and tested** (chapter 8.7)**“Objectives**

EAL5 permits a developer to gain maximum assurance from security engineering based upon rigorous commercial development practises supported by moderate application of specialist security engineering techniques. Such a TOE will probably be designed and developed with the intent of achieving EAL5 assurance. It is likely that the additional costs attributable to the EAL5 requirements, relative to rigorous development without the application of specialised techniques, will not be large.

EAL5 is therefore applicable in those circumstances where developers or users require a high level of independently assured security in a planned development and require a rigorous development approach without incurring unreasonable costs attributable to specialist security engineering techniques.”

**Evaluation assurance level 6 (EAL6) - semiformally verified design and tested**  
(chapter 8.8)**“Objectives**

EAL6 permits developers to gain high assurance from application of security engineering techniques to a rigorous development environment in order to produce a premium TOE for protecting high value assets against significant risks.

EAL6 is therefore applicable to the development of security TOEs for application in high risk situations where the value of the protected assets justifies the additional costs.”

**Evaluation assurance level 7 (EAL7) - formally verified design and tested**  
(chapter 8.9)**“Objectives**

EAL7 is applicable to the development of security TOEs for application in extremely high risk situations and/or where the high value of the assets justifies the higher costs. Practical application of EAL7 is currently limited to TOEs with tightly focused security functionality that is amenable to extensive formal analysis.”

**Class AVA: Vulnerability assessment** (chapter 16)

“The AVA: Vulnerability assessment class addresses the possibility of exploitable vulnerabilities introduced in the development or the operation of the TOE.”

**Vulnerability analysis (AVA\_VAN)** (chapter 16.1)**"Objectives**

Vulnerability analysis is an assessment to determine whether potential vulnerabilities identified, during the evaluation of the development and anticipated operation of the TOE or by other methods (e.g. by flaw hypotheses or quantitative or statistical analysis of the security behaviour of the underlying security mechanisms), could allow attackers to violate the SFRs.

Vulnerability analysis deals with the threats that an attacker will be able to discover flaws that will allow unauthorised access to data and functionality, allow the ability to interfere with or alter the TSF, or interfere with the authorised capabilities of other users.”

This page is intentionally left blank

## **D Annexes**

### **List of annexes of this certification report**

Annex A: Security Target provided within a separate document.

This page is intentionally left blank.